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NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

KOLSTER OY AB
Iso Roobertinkatu 23
P.O. Box 148
FIN-00121 Helsinki
FINLANDE

Date of mailing (day/month/year) 26 October 1999 (26.10.99)	
Applicant's or agent's file reference 2980469PC/nu	IMPORTANT NOTIFICATION
International application No. PCT/FI99/00770	International filing date (day/month/year) 20 September 1999 (20.09.99)

1. The following indications appeared on record concerning:

☒ the applicant
 ☐ the inventor
 ☐ the agent
 ☐ the common representative

Name and Address NOKIA TELECOMMUNICATIONS OY Keilalahdentie 4 FIN-02150 Espoo Finland	State of Nationality FI	State of Residence FI
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person
 ☒ the name
 ☐ the address
 ☐ the nationality
 ☐ the residence

Name and Address NOKIA NETWORKS OY Keilalahdentie 4 FIN-02150 Espoo Finland	State of Nationality FI	State of Residence FI
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned
<input checked="" type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Aino Metcalfe Telephone No.: (41-22) 338.83.38
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 2980469PC/nu	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/FI99/00770	International filing date (day/month/year) 20.09.1999	Priority date (day/month/year) 21.09.1998
International Patent Classification (IPC) or national classification and IPC ₇ H04Q 7/24, H04Q 3/00		
Applicant Nokia Networks OY et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of _____ sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 13.04.2000	Date of completion of this report 16.10.2000
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	Authorized officer Oskar Pihlgren/OGU Telephone No. 08-782 25 00

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/FI99/00770

I. Basis of the report

1. This report has been drawn on the basis of *(Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.)*:

- ☒ the international application as originally filed.
- ☐ the description, pages _____, as originally filed.
 pages _____, filed with the demand,
 pages _____, filed with the letter of _____,
 pages _____, filed with the letter of _____.
- ☐ the claims, Nos. _____, as originally filed,
 Nos. _____, as amended under Article 19,
 Nos. _____, filed with the demand,
 Nos. _____, filed with the letter of _____,
 Nos. _____, filed with the letter of _____.
- ☐ the drawings, sheets/fig _____, as originally filed,
 sheets/fig _____, filed with the demand
 sheets/fig _____, filed with the letter of _____,
 sheets/fig _____, filed with the letter of _____.

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheets/fig _____

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the supplemental Box (Rule 70.2(c)).

4. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

Novelty (N)	Claims	<u>1-16</u>	YES
	Claims		NO
Inventive step (IS)	Claims	<u>1-16</u>	YES
	Claims		NO
Industrial applicability (IA)	Claims	<u>1-16</u>	YES
	Claims		NO

2. Citations and explanationsThe claimed invention

The present invention relates to a method and system for wireless telecommunications. A radio network layer provides the means to carry mobile-specific signalling, and a transport layer establishes user channels between system nodes over a transport network. The transport network is visible to the radio network layer only via a primitive interface through which it can request transport services. The radio network layer signalling procedures and connections are mapped with the underlying transport layer signalling procedures and connections by using binding information. The binding information is provided in a system node at one end of the connection leg and is exchanged between the network and transport layers through an interface, and used to identify the radio network parameters and the transport layer connection setup signalling carried out between the nodes.

Cited documents

D1: USECA: UMTS Security Architecture, "Overview of UMTS architecture", 1998, Bart Vinck, Siemens Atea, chapter 7, pages 32-46

D2: SMG2, "Submission of Proposed Radio Transmission Technologies", pages 1-15, Date of submission: 29.01.1998, Retrieved from the Internet

URL: www.itv.int/imt/2-radio-dev/proposals/etsi/utra.pdf

.../...

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Box V.

Statement

D1 discusses the ETSI UMTS terrestrial radio access (UTRA) candidate submission. Section 2 contains abbreviations and definitions. Section 3 describes the general architecture of the radio access network and section 4 defines the Layer 2 and 3 of the radio protocol.

D2 is a report from an USECA project which aims to support the specification process by developing a viable and complete UMTS security architecture that can be used by ETSI as a basis for standardization.

None of the prior-art cited documents suggest that binding information is provided for a user connection, and that the binding information is used in the transport layer signalling and network layer signalling procedures according to the system and method as stated in the independent claims 1, 10. Furthermore, this is not considered obvious to a person skilled in the art. Accordingly, what is stated in claims 1-16 is novel, comprise industrial applicability and is considered to involve an inventive step.

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PCT REQUEST

2980469PC/nu

Original (for SUBMISSION) - printed on 20.09.1999 12:41:23 PM

0-0 0-1	For receiving Office use only International Application No.	PCT/FI 9 9 / 0 0 7 7 0
0-2	International Filing Date	2 0 SEP 1999 (2 0. 09. 99)
0-3	Name of receiving Office and "PCT International Application"	The Finnish Patent Office - PCT International Application
0-4 0-4-1	Form - PCT/RO/101 PCT Request Prepared using	PCT-EASY Version 2.84 (updated 01.07.1999)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	National Board of Patents and Registration (Finland) (RO/FI)
0-7	Applicant's or agent's file reference	2980469PC/nu
I	Title of invention	CONNECTION ESTABLISHMENT IN A WIRELESS TELECOMMUNICATIONS NETWORK
II II-1 II-2 II-4 II-5	Applicant This person is: Applicant for Name Address:	applicant only all designated States except US NOKIA TELECOMMUNICATIONS OY Keilalahdentie 4 FIN-02150 Espoo Finland
II-6 II-7	State of nationality State of residence	FI FI
III-1 III-1-1 III-1-2 III-1-4 III-1-5	Applicant and/or inventor This person is: Applicant for Name (LAST, First) Address:	applicant and inventor US only RAIVIO, Yrjö Kankurinkatu 7 B 28 FIN-00150 Helsinki Finland
III-1-6 III-1-7	State of nationality State of residence	FI FI

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III-2	Applicant and/or inventor	applicant and inventor
III-2-1	This person is:	US only
III-2-2	Applicant for	US only
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III-2-7	State of residence	FI
III-3	Applicant and/or inventor	applicant and inventor
III-3-1	This person is:	US only
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	PIRKOLA, Juha
III-3-5	Address:	Pakkamestarinkatu 3 D 137 FIN-00520 Helsinki Finland
III-3-6	State of nationality	FI
III-3-7	State of residence	FI
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name	KOLSTER OY AB
IV-1-2	Address:	Iso Roobertinkatu 23 P.O. Box 148 FIN-00121 Helsinki Finland
IV-1-3	Telephone No.	358 9 618 821
IV-1-4	Facsimile No.	358 9 602 244
IV-1-5	e-mail	kolster@kolster.fi
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AP: GH GM KE LS MW SD SL SZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT

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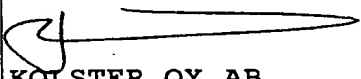
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V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AL AM AT (patent and utility model) AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ (patent and utility model) DE (patent and utility model) DK (patent and utility model) DM EE (patent and utility model) ES FI (patent and utility model) GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK (patent and utility model) SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW	
V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.		
V-6	Exclusion(s) from precautionary designations	NONE	
VI-1	Priority claim of earlier national application		
VI-1-1	Filing date	21 September 1998 (21.09.1998)	
VI-1-2	Number	982029	
VI-1-3	Country	FI	
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1	
VII-1	International Searching Authority Chosen	Swedish Patent Office (ISA/SE)	
VIII	Check list	number of sheets	electronic file(s) attached
VIII-1	Request	4	-
VIII-2	Description	11	-
VIII-3	Claims	3	-
VIII-4	Abstract	1	2980469p.txt
VIII-5	Drawings	2	-
VIII-7	TOTAL	21	
	Accompanying items	paper document(s) attached	electronic file(s) attached
VIII-8	Fee calculation sheet	✓	-
VIII-10	Copy of general power of attorney	✓	-
VIII-16	PCT-EASY diskette	-	diskette
VIII-17	Other (specified):	Copy of Official Action	-

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VIII-18	Figure of the drawings which should accompany the abstract	5
VIII-19	Language of filing of the international application	English
IX-1	Signature of applicant or agent	
IX-1-1	Name	KOLSTER OY AB Tapio Valkeiskangas

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10-1	Date of actual receipt of the purported international application	20 SEP 1999 (20-09-1999)
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/SE
10-6	Transmittal of search copy delayed until search fee is paid	

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11-1	Date of receipt of the record copy by the International Bureau	
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

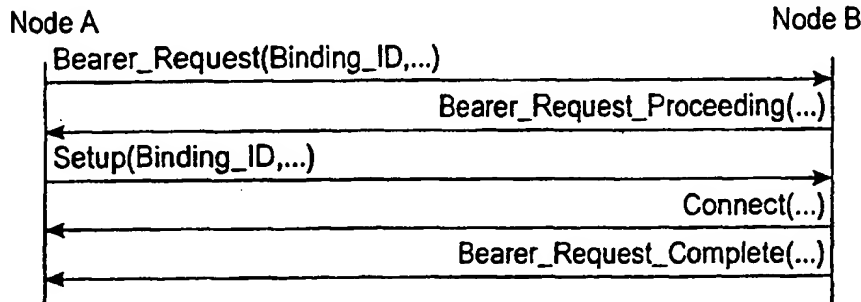
(51) International Patent Classification ⁷ : H04Q 7/24, 3/00		A1	(11) International Publication Number: WO 00/18157
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(21) International Application Number: PCT/FI99/00770 (22) International Filing Date: 20 September 1999 (20.09.99) (30) Priority Data: 982029 21 September 1998 (21.09.98) FI (71) Applicant (for all designated States except US): NOKIA NETWORKS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI). (72) Inventors; and (75) Inventors/Applicants (for US only): RAIVIO, Yrjö [FI/FI]; Kankurinkatu 7 B 28, FIN-00150 Helsinki (FI). KEKKI, Sami [FI/FI]; Ruusulankatu 8 A 1, FIN-00260 Helsinki (FI). PIRKOLA, Juha [FI/FI]; Pakkamestarinkatu 3 D 137, FIN-00520 Helsinki (FI). (74) Agent: KOLSTER OY AB; Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).		(81) Designated States: AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), DM, EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

(54) Title: CONNECTION ESTABLISHMENT IN A WIRELESS TELECOMMUNICATIONS NETWORK

(57) Abstract

A wireless telecommunications system comprises a radio network layer which provides the means to carry mobile-specific signalling, and a transport layer which establishes user channels between system nodes over a transport network. The transport network is visible to the radio network layer only via a primitive interface through which it can request transport services. The

invisibility of the transport network to the radio network layer makes the radio network layer independent of the underlying transport network and enables the use of different transport network technologies. The radio network layer signalling procedures and connections are mapped with the underlying transport layer signalling procedures and connections by using binding information. The binding information is provided in a system node (A) at one end of the connection leg, exchanged between the network and transport layers in addition to other necessary parameters through a primitive interface, and used to "identify" the radio network layer and the transport layer connection setup signalling carried out between the nodes.



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CONNECTION ESTABLISHMENT IN A WIRELESS TELECOMMUNICATIONS NETWORK

The invention relates to wireless telecommunications systems.

5 In mobile networks, radio interfaces have conventionally been narrowband. Mobile network transmission systems have conventionally been implemented with circuit-switched connections using a star or tree network configuration. An example of prior art mobile systems is the pan-European digital mobile communication system GSM. The signalling interface is based on an
10 ANSI/CCITT signalling system number 7 (SS7) comprising several layers: an SCCP, an MTP and a physical layer. When a digital PCM link is used between the BSC and the mobile switching centre 10, the signalling of the physical layer is transferred in one or more time slots of 56 or 64 kbit/s. The following higher layers in interface A are an MTP (message transfer part) and an SCCP
15 (signalling connection and control part). The MTP and the SCCP are used to support layer 3 signalling messages between the mobile switching centre and the base station system BSS. One function of the SCCP, BSSMAP (BSS management application sub-part), supports procedures between the mobile switching centre and the BSS relating to the mobile station (handover control
20 14 ms) or a cell within the BSS or the entire BSS. In other words, the BSSMAP (RSMAP; Radio System Management Application Part) supports all the procedures between the mobile switching centre and the BSS requiring interpretation and handling of information associated with individual calls and resource management, i.e. radio network-specific or mobile-specific information. In the
25 terminology used in this description, the BSSMAP may be called a radio network layer and the underlying PCM transmission system a transport layer. In GSM, the transport layer reference, i.e. the PCM timeslot number information, is carried in the BSSMAP signalling.

At present, third-generation mobile systems, such as the Universal
30 Mobile Communication System (UMTS) and the Future Public Land Mobile Telecommunication System (FPLMTS), later renamed as IMT-2000 (International Mobile Telecommunication 2000), are being developed. The UMTS is being standardized in ETSI (European Telecommunication Standards Institute), whereas ITU (International Telecommunication Union) is defining the
35 IMT-2000 system. These future systems are basically very much alike.

Fig. 1 shows a simplified UMTS architecture with external reference points and interfaces to the UMTS Terrestrial Radio Access Network, UTRAN. The UTRAN consists of a set of Radio Network Subsystems RNS connected to the Core Network CN through an I_u . These Radio Network Subsystems can be interconnected through an interconnection point (reference point) I_{ur} . The interfaces $I_u(s)$ and I_{ur} are logical interfaces. I_{ur} can be conveyed over a direct physical connection between RNSs or via any suitable transport network. Each RNS is responsible for the resources of its set of cells. In each connection between a User Equipment UE and the UTRAN, one RNS is the Serving RNS. An RNS consists of a Radio Network Controller RNC and one or more abstract entities currently called Node B. The RNC is responsible for the handover decisions that require signalling to the UE. Node Bs are connected to the RNC through the I_{ub} interface. The functions and internal structure of Node Bs are currently undefined. The core network CN is a conventional or future telecommunication network modified to efficiently utilize the UTRAN in a wireless communication. Telecommunication networks that are thought to be suitable core networks are second-generation mobile systems, such as GSM, ISDN (Integrated Services Digital Network), B-ISDN (Broadband ISDN), PDN (Packet Data Network), ATM.

The UTRAN forms an overlay layer for the transmission network. The basic idea is that the UTRAN is a transmission independent system including 3 different system functions: user plane, radio network control plane (radio network layer) and access link control plane (transport plane). The user plane transmits circuit and packet switched data, the radio network control plane provides the means to carry mobile-specific signalling, and the transport network control establishes the user channels over the UTRAN interfaces, e.g. I_u , I_{ur} and I_{ub} . The radio network control plane and the user plane use the services of the transport network control plane. The transport network control plane hides the underlying transport network from the UTRAN control plane. The transport network is visible to the radio network control plane only via the primitive interface through which it can request the transport services for itself and for the UTRAN user plane. The transport network control plane provides the requested transport Service Access Points (SAP) for the UTRAN user and control planes.

The invisibility of the transport network to the UTRAN radio network layer makes the UTRAN independent of the underlying transport network and

it enables the use of different transport network technologies for the UTRAN. This independence is seen beneficial from the UTRAN specification and development work point of view as it is no longer tied to any specific transport technology. Furthermore, it makes the UTRAN more adaptive to the future developments of the transport technologies.

A potential candidate for transport technique is the UMTS is ATM (Asynchronous Transfer Mode). The ATM transmission technique is a switching and multiplexing solution particularly relating to a data link layer (i.e. OSI Layer 2, hereinafter referred to as an ATM layer), enabling the implementation of a connection-oriented packet network in the B-ISDN networks (Broadband Integrated Services Digital Network). In ATM data transmission, the end user's data traffic is carried from a source to a destination through virtual connections. Data is transferred over switches of the network in standard-size packets called ATM cells. An ATM cell comprises a header, the main object of which is to identify a connection number for a sequence of cells forming a virtual channel for a particular call. A physical layer (i.e. OSI Layer 1) may comprise several virtual paths multiplexed in the ATM layer. The virtual paths are identified by a Virtual Path Identifier (VPI). Each virtual path may comprise a number of virtual channels identified by a Virtual Channel Identifier (VCI). The ATM cell comprises indirectly information on the receiver's address, each cell thus being an independent data transmission unit. Above the ATM layer, there are the procedures of the ATM Adaptation Layer (AAL) which adapt the ATM layer to the higher layers. The ATM is a connection-oriented traffic technique, but since there is no connection before it is established, a connection establishment request has to be routed from a source through the ATM network to a destination approximately in the same way as packets are routed in packet-switched networks. After the connection has been established, the packets travel along the same virtual path during the connection.

In other words, separate transport layer signalling is required for connection establishment when the transport layer is based on ATM, for example. Such an established connection is called a signalled connection herein. In some cases, both signalled and permanent connections (permanent virtual connection in ATM, or a TDM time slot, for example) may be used.

Other potential transport techniques are Frame Relay and Internet protocol (IP).

An object of the present invention is to allow independence of the

radio network layer, such as the UTRAN, from the underlying transport layer, such as the ATM, while enabling coordination of the separate signalling procedures through a generic interface between these layers.

5 An aspect of the invention is a connection establishment method in a wireless telecommunications system comprising a radio network layer for carrying radio network-specific signalling and a transport layer for establishing user connections over a transmission system between network nodes in the telecommunications system, said method comprising the steps of requesting, by the radio network layer, the transport layer to establish a user connection
10 between two nodes; carrying out a transport layer signalling procedure for establishing a transport layer connection; and carrying out a network layer signalling procedure for establishing a radio network layer connection establishment. The method further comprises the steps of providing binding information for a user connection requested by the radio network layer; utilizing the binding information in said transport layer signalling procedure; utilizing the binding
15 information in said radio network layer signalling procedure; and utilizing the binding information in the nodes to associate the transport layer signalling procedures and connections with the respective network layer signalling procedures and connections.

20 A further aspect of the invention is a wireless telecommunications system comprising a radio network layer for carrying radio network-specific signalling and a transport layer for establishing user connections over a transmission system between network nodes in the telecommunications system, the radio network layer signalling procedures being substantially separated from the transport layer signalling procedures. One of the two nodes is
25 arranged to provide binding information for a user connection requested by the radio network layer, and both the radio network layer and the transport layer are arranged to use the binding information in the signalling associated with the connection establishment in order to enable the network nodes at the ends
30 of the user connection to associate the transport layer signalling and connections with the respective network layer signalling and connections.

In accordance with the invention, the radio network layer signalling procedures and connections are associated with the underlying transport layer signalling procedures and connections by using binding information. The
35 binding information associates a particular transport layer instance with its corresponding radio network layer instance. The binding information is provided

in a system node at one end of the connection leg, exchanged between the network and transport layers in addition to other necessary parameters through a primitive interface, and used to "identify" the radio network layer and the transport layer connection setup signalling carried out between the nodes.

- 5 As a result, the signalling procedures and connections of the two independent and separate layers are mapped together.

Either the originating or the terminating node may manage the allocation of the binding information and the corresponding transport resources but not both nodes at the same time. According to an embodiment of the invention the radio network layer will request a transport resource from the transport layer. The transport layer will return binding information to the requesting network layer entity which will send it with radio network layer signalling to the other node. Simultaneously a new transport layer connection is established by transport layer signalling in which this same binding information is also transmitted to the other node. If the originating node of the radio network layer does not allocate transport resources, some specific binding information shall be used, e.g. 0, or the whole binding information parameter is left out in the request message sent by the originating node. In that case, the terminating node will allocate the binding information and send it back to the originating node within the acknowledgement message. This message can be a Layer 3 Proceeding message, for example.

The binding information may be dynamic in the sense that it is allocated just before the connection is established. The binding information has to be unique at least between the neighboring nodes. Normally, one node may receive from a plurality of other nodes. Therefore, the management of the binding information values in the network will be facilitated, if it is the terminating node which allocates the resources and the binding information.

In one embodiment of the invention the transport network may use both signalled connections and permanent type of connections. In that case, some binding information values may be static, i.e. they permanently have an indirect reference to some transport resources. The binding information values are allocated for each pipe while the permanent resource is established by management commands or signalling. These permanent binding information values have to be informed to both nodes by the management system or signalling respectively. Each node has to keep a reference table where binding information values are tied to some physical resource. In other words, these

resources are just waiting for network layer requests and they are taken into use without any dynamic signalling in the transport layer. When a permanent connection is taken into use, it is marked reserved and a corresponding binding information value is retrieved from the reference table and transferred to the radio network layer. This approach can be very useful, for example in handover cases, as response time for the transport connection establishment is very short. The nodes may be arranged to use the permanent connections based on various criteria: always when available, when preferable according to a predetermined criterium, when no signalled connections are available, or in handover.

In the following, the invention will be described in greater detail by means of the preferred embodiments with reference to the accompanying drawings, in which

Figure 1 shows a simplified UMTS architecture,

Figure 2 illustrates the UTRAN reference model in the case of the transport network that is based on the ATM,

Figure 3 illustrates an example of internal functions in the MSC (Mobile Services Switching Center) node,

Figure 4 shows one example of the binding ID structure,

Figures 5, 6, 7 and 8 illustrate the various signalling procedures according to the invention.

The preferred embodiments of the invention are in the following described as implemented in the UMTS system when the transport network is an ATM network. However, the aim is not to restrict the invention to these embodiments. The invention is applicable to any wireless telecommunication system requiring independence of the radio network (mobile-specific signalling) from the underlying transport network type.

An architecture of a UMTS access network was described above with reference to Fig. 1. Fig. 2 illustrates the UTRAN reference model in the case of the transport network that is based on the ATM. In the terminology used herein, the radio network control plane is the radio network layer, and the Transport Network Control (TNC) plane and the transport network provide the transport layer.

The Transport Network Control Plane is designed for the ATM-based transport network. Both the standardized ATM Connection Control signalling (PNNI, UNI, BISUP) and the AAL2 signalling protocols (ATM Adapta-

tion Layer, type 2) are available for use by the Transport Control application in order to provide the requested transport services. It is emphasized that the complexity and the capabilities of the Transport Network Control Plane may vary depending on the capabilities of the underlying transport network and on the required services of any particular transport network. For example, the ATM signalling may not be necessary if all communication is based on the use of Permanent Virtual Connections and/or if only AAL2 connections are controlled via signalling.

In the radio network control plane, the radio network signalling over the interface I_{ur} consists of the Radio Access Network Application Part (RANAP). The RANAP consists of mechanisms to handle all procedures between the CN and the UTRAN. It is also capable of conveying messages transparently between the CN and the UE without interpretation or processing by the UTRAN. The corresponding signalling information across the I_{ur} interface is called Radio Network Subsystem Application Part (RNSAP). The present invention is applicable to all interfaces in the UTRAN.

The Transport Network Control Plane provides the capabilities and mechanisms that are needed in order to efficiently use any specific transport network for the communication needs of the UTRAN control and user planes. These capabilities may include e.g. the Traffic Management (Connection Admission Control, User Parameter Control, etc.) and Connection Control functions. The interaction of the Transport Network Control Plane with the underlying transport network is provided by the transport network-specific signalling protocols. UTRAN-specific procedures have access to the Transport Control Plane through the primitive interface. The primitive interface exists between the Transport Control application and the corresponding UTRAN control application (the UTRAN control application resides on both sides of the system interface, including the I_{ur}). The Transport Control is the application that coordinates all the functions of the Transport Network Control Plane.

Transport services are requested from the Transport Network Control Plane by the UTRAN control. The Transport Control application converts the parameters to the formats that are suitable for the underlying transport network. The conversion may be needed e.g. between the UTRAN addressing and the addressing format that is supported by the transport network. Also, the parameters that characterize the requested transport service (e.g. the UTRAN bearer parameters) may need to be adapted to the transport network-specific

parameters. The description of the primitives and the parameters that are passed through this interface are not relevant to the present invention and will not be described herein.

The binding information according to the present invention is exchanged between the two planes in addition to other necessary parameters. Binding information associates a particular Transport Network Control Plane instance with its corresponding radio network control plane instance. In the following, the binding information is called a binding ID.

Fig. 3 illustrates an example of internal functions in the MSC (Mobile Services Switching Center) node and interactions which may be made in the connection setup. In a node signalling over the I_{ur} interface the basic function and primitive interfaces may be the same but RANAP is replaced by RNSAP. In the MSC node, the Transport Control has, in addition to the interface to the RANAP, several other internal interfaces. The whole connection establishment procedure starts with Call Control negotiation between MSC and MS call control entities. By using the RANAP services, the radio resources are checked and when agreed by the MSC and the MS, then the RANAP will request access links from the Transport Network Control. That request includes generic bearer parameters and they are not tied to any certain transmission alternative. Basically, radio and access link resources could be checked and reserved simultaneously to get the shortest delay. Depending on the connection and traffic management parameters in the RANAP request, the TNC will first decide the access link type. After that, the Transport Control will utilize internal node control services to find out if that request can be fulfilled with the chosen access link type. That includes the Connection Control and Connection Admission Control blocks shown in Fig. 3. Routing towards the destination also has to be formed. If the RANAP request is valid, the TNC decides how this link will be established. Alternatives may be to use signalled or permanent or both link types together. After the transport link is established, the TNC will response with an (internal) acknowledgement to the RANAP and also give the binding ID to be transmitted over the RANAP connection for the other node. With the binding information, the other node can connect the TNC link to the RANAP connection.

The format of the binding ID is preferably such that both the radio network control plane protocol and the relevant transport Layer signalling protocol (Q.2931, Q.933, Q.AAL2, etc.) can transparently convey it in their infor-

mation element, typically in a user-to-user information element. For example, currently in AAL2 signalling only 32 bits are allocated for the user-generated information field.

The binding ID is preferably unique at least between the neighboring nodes. For example, individuality of the binding ID can be ensured by means of a node identifier, Node ID. This is a unique identifier for each node in the UMTS system. The numbering system can be operator-specific and it may be based for example on signalling point codes, ATM or AAL2 End System Addresses.

Besides the unique part, the binding ID may include a consecutive number which is allocated and managed by a Resource Manager in each node. Some of these numbers can be permanently allocated for some special purpose but this is a totally implementation-specific issue. Fig. 4 shows one example of the binding ID structure. The total length is 32 bits where both the Node ID and consecutive ID have a number space of 16 bits allowing 65 535 different binding IDs for each connection leg between two nodes.

In the following, four different scenarios for binding the radio network layer and transport layer signalling according to the invention will be described with reference to Figs. 5 to 8. The specific messages shown are only intended to illustrate the invention but similar messages can be found in the network and transport signalling procedures.

Fig. 5 describes the case where the originating side node A allocates the transport resource. The binding ID is requested from the node A Resource Manager and that value is inserted into Bearer_Request messages which are sent to the node B. Depending on the interface requirements, there can be a Layer 3 Proceeding message sent back from the node B. This message can be useful if node B wants to change the transport features or to give some transport addressing information to the node B. If the Layer 3 Proceeding message is not used, a transport Setup which contains the same binding ID can be sent immediately after the Bearer Request message. If the Proceeding message is specified for the interface, the transport Setup message is not sent before the arrival of the Proceeding message, as the latter may contain some information necessary for the transport selection procedure. This kind of transport negotiation procedure has been planned for the I_u Interface, for example. Even in case we have to change the transport resources, the binding ID can still be the same. Only the internal reference of the binding ID

has to be updated for the new resource. Basically, the new resource has to be lower than the originally allocated resource. This means that the node B may only lower the transport requirements from the one the node A is offering. It should be noted that the radio network layer and transport layer signalling may not be tied together but they may be processed parallel. The node B will not send a Bearer_Request_Complete message as an acknowledgement before the establishment of both the radio and transport resources is completed. The radio signalling is not shown here. As the Connect message of the transport layer and the Bearer_Request_Complete message of the radio network layer are not synchronized, the node A has to wait until both messages arrive before it can proceed with the following procedures.

Fig.6 shows an alternative solution where the originating side node A allocates the transport resource and the binding ID as described above with reference to Fig. 5. However, in Fig. 6. the node A sends only the network layer Bearer_Request message with the binding ID to the node B. As a response, the transport layer Setup message with the same binding ID and the Layer 3 Proceeding message are sent by the node B to the node A. The node A acknowledges it with the transport layer Connect message. Finally, the node B acknowledges this with the radio network layer Bearer_Request_Complete message. This approach may be useful if parallel operation is not found good, but input-output message sequences are considered important.

In the third example shown in Fig. 7, the transport resources are allocated by the terminating side node B. In this case, the node A will not allocate the binding ID nor send the transport layer Setup message and thus a special value, "No_Binding_ID_Value", can be used in the Binding ID field of the network layer Bearer_Request message, or the Binding ID parameter may not be included at all in the message. The node B will, in response to the Bearer_Request message, allocate the requested transport resource and return the Binding ID in a radio network layer acknowledgement message which in this example is the Bearer_Request_Proceeding message. Simultaneously, a transport layer Setup message including the same Binding ID is sent from the node B to the node A. The node A acknowledges this with the transport layer Connect message. Finally, as the radio and transport resources are completed, the radio network layer Bearer_Request_Complete message is sent from the node B to the node A. This approach, in addition to the benefits

of the parallel processing, facilitates the management of the unique binding ID values in the system.

The final scenario is shown in Fig. 8. In this case the node A does not allocate the binding ID but only sends the network layer Bearer_Request message as in the Fig. 7. Again, the node B will, in response to the Bearer_Request message, allocate the requested transport resource and return the Binding ID in the radio network layer Bearer_Request_Proceeding message. However, the node B does not send a transport layer Setup message. Instead, the node A sends the transport layer Setup message with the same binding ID in response to the radio network layer Bearer_Request_Proceeding message. The node B acknowledges it with the transport layer Connect message. Finally, the radio network layer Bearer_Request_Complete message is sent from node B to the node A. This procedure can be useful if parallel processing of the radio network layer and transport messages is not possible, or appropriate message synchronizing of the radio network layer and transport messages is seen important. Also, the benefits of allocating the binding ID at the terminating node B are obtained.

If the node A or node B allocates permanent transport resources, procedures can be exactly the same as described above, except that the transport layer Setup-Connect sequence would be left out. Binding ID would tell both ends the indirect reference to the actual physical transport resource.

The binding ID parameter can be conditional in all relevant radio network layer messages in order to allow all the scenarios described above. In each interface in the system one or more of the previous procedures may be applied, and the selected procedure will define in which network layer messages the binding ID Information element is needed.

The application has above been described by means of the preferred embodiments to illustrate the principles of the invention. Regarding the details, the invention may vary within the scope and spirit of the accompanying claims.

CLAIMS

1. A connection establishment method in a wireless telecommunications system comprising a radio network layer for carrying radio network-specific signalling and a transport layer for establishing user connections over
5 a transmission system between network nodes in the telecommunications system, said method comprising the steps of

requesting, by the radio network layer, the transport layer to establish a user connection between two nodes,

10 carrying out a transport layer signalling procedure for establishing a transport layer connection, and

carrying out a network layer signalling procedure for establishing a radio network layer connection,

characterized by the steps of

15 providing binding information for a user connection requested by the radio network layer,

utilizing the binding information in said transport layer signalling procedure,

utilizing the binding information in said radio network layer signalling procedure,

20 utilizing in the nodes the binding information to associate the transport layer signalling procedures and connections with the respective network layer signalling procedures and connections.

2. Method as claimed in claim 1, **characterized** by a step of providing the binding information by the transport layer,

25 informing the binding information to the radio network layer.

3. Method as claimed in claim 1 or 2, **characterized** by a step of

the transport layer dynamically allocating the binding information to each connection to be established by signalling.

30 4. Method as claimed in claim 1, 2 or 3, **characterized** by a step of

ending the radio network layer signalling procedure by a request complete message only after the transport layer signalling procedure is completed and the radio resources and transport resources are established.

35 5. Method as claimed in claim 1, 2, 3 or 4, **characterized** by the steps of

allocating the binding information only in one of the two nodes, and sending the allocated binding information to the other one of the two nodes in at least one radio network layer message and/or transport layer message.

5 6. Method as claimed in claim 5, **characterized** by a step of allocating the binding information in the terminating one of the two nodes.

10 7. Method as claimed in any one of claims 1 to 6, **characterized** in that the allocated binding information is unique at least between the two nodes associated.

8. Method as claimed in claim 7, **characterized** in that the binding information includes a node identity.

15 9. Method as claimed in any one of claims 1 to 8, **characterized** by the steps of

checking, in one of the two nodes, whether there is a pool of permanent transport layer connections between the two nodes,

allocating the requested user connection one permanent connection from said pool instead of establishing a signalled connection by said transport layer signalling procedure,

20 informing the binding information associated with the allocated permanent connection to the radio network layer,

receiving, in the other one of the two nodes, said binding information from the radio network layer, said binding information being carried from said node to said other one of the nodes in said radio network level signalling,

25 allocating, in said other one of the two nodes, for the requested user connection the permanent connection associated with said binding information,

30 utilizing in the nodes the binding information to associate the permanent transport layer connections with the respective network layer signalling procedures and connections.

35 10. A wireless telecommunications system comprising a radio network layer for carrying a radio network-specific signalling and a transport layer for establishing user connections over a transmission system between network nodes (A, B) in the telecommunications system, the radio network layer signalling procedures being substantially separated from the transport layer signalling procedures, **characterized** in that one of the two nodes (A, B) is

arranged to provide binding information for a user connection requested by the radio network layer, and that both the radio network layer and the transport layer are arranged to use the binding information in the signalling associated with the connection establishment in order to enable the network nodes (A, B) at the ends of the user connection to associate the transport layer signalling and connections with the respective network layer signalling and connections.

11. A system as claimed in claim 10, **characterized** in that said one node (A, B) is arranged to dynamically allocate the binding information for each user connection.

12. A system as claimed in claim 10 or 11, **characterized** in that said one node (A, B) is arranged to send the allocated binding information to the other one of the two nodes (A, B) in at least one radio network layer message and/or transport layer message.

13. A system as claimed in claim 12, **characterized** in that said one node is the terminating one of the two nodes (A, B).

14. A system as claimed in claim 10, 11 or 12, **characterized** in that it comprises permanent transport layer connections between some of the network nodes (A, B), each permanent connection being fixedly associated with preallocated binding information, each node (A, B) being arranged to store reference information tying the each preallocated binding information to the respective permanent connection, and that the nodes are arranged to use the permanent connections based on one or more of the following criteria: always when available, when preferable according to a predetermined criterium or when no signalled connections are available.

15. A system as claimed in any one of claims 10 to 14, **characterized** in that the transport layer is based on the ATM (Asynchronous Transfer Mode), the IP (Internet Protocol), the Frame Relay, or the TDM (Time Division Multiplexing).

16. A system as claimed in any one of claims 10 to 15, **characterized** in that the binding information is unique at least between two neighbouring nodes (A, B).

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Fig. 1

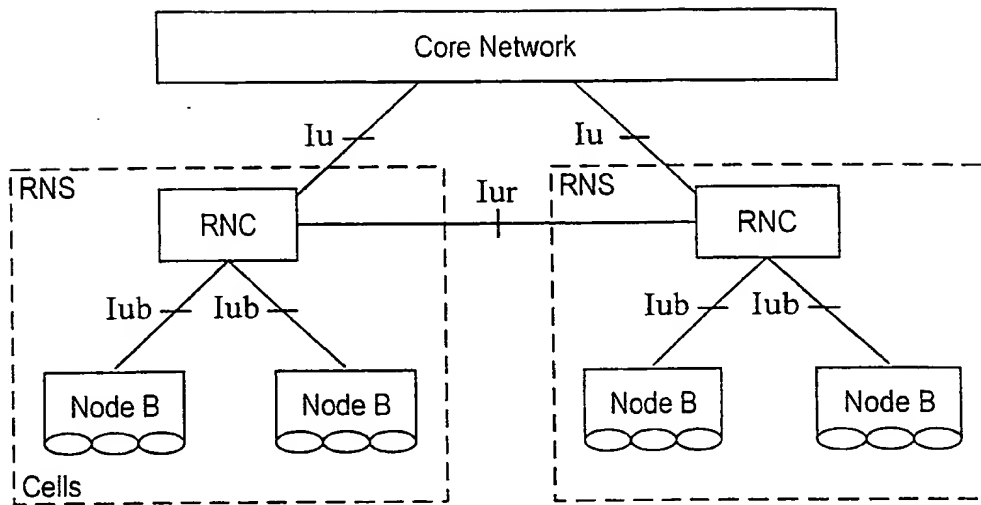


Fig. 2

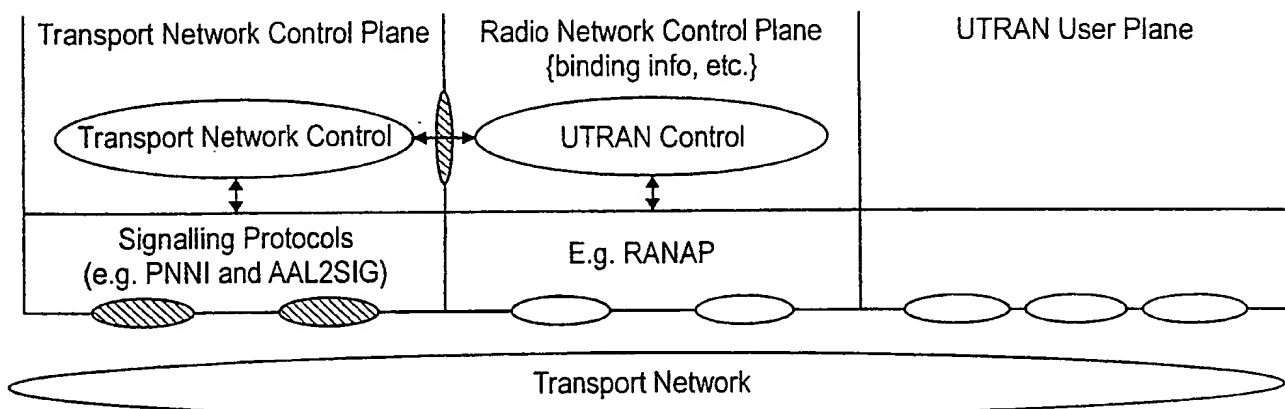
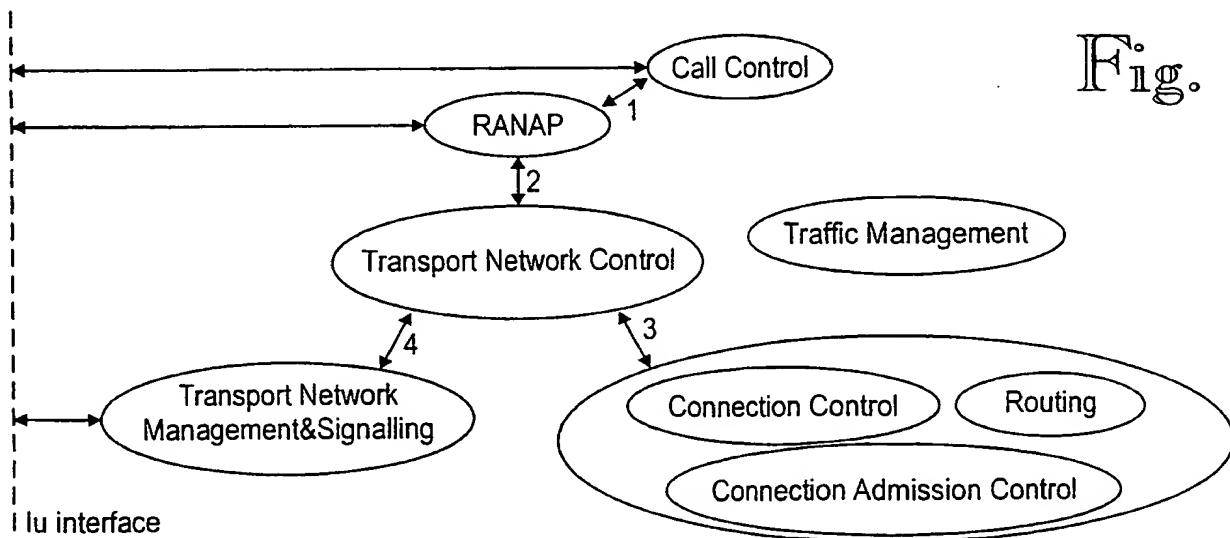


Fig. 3



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Fig. 4

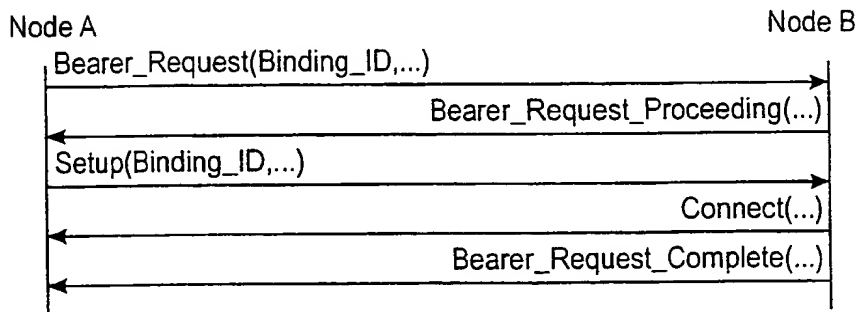


Fig. 5

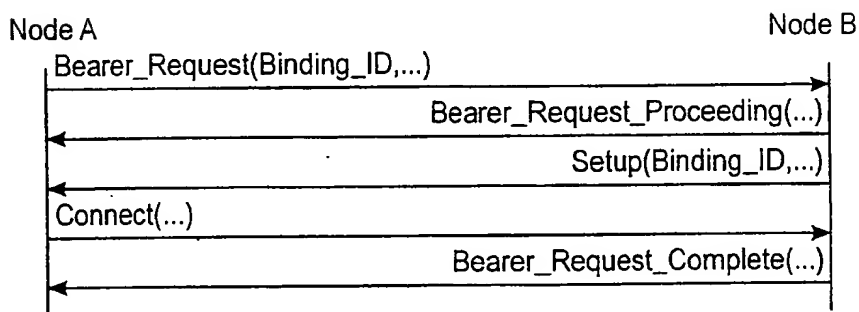


Fig. 6

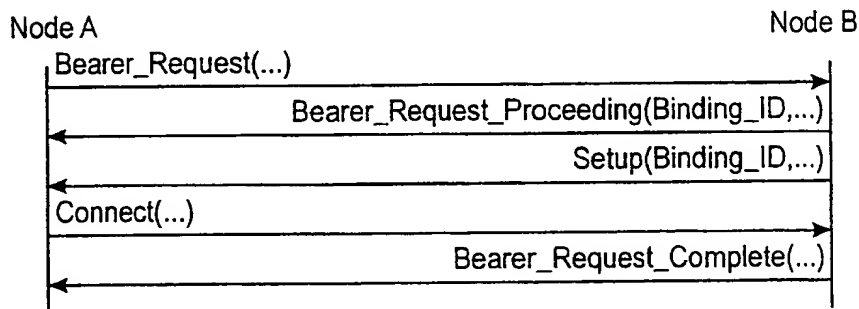


Fig. 7

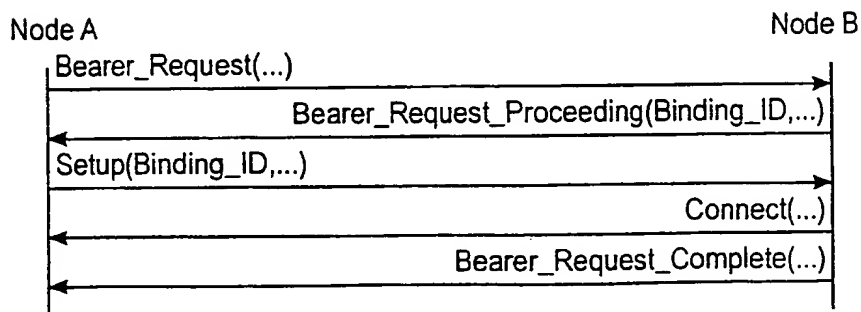


Fig. 8

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 99/00770

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 7/24, H04Q 3/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q, H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	WO 9939528 A1 (NOKIA TELECOMMUNICATIONS OY), 5 August 1999 (05.08.99), see whole document	1-16
P,Y	--	1,10
Y	USECA: UMTS Security Architecture, "Overview of UMTS architecture", 1998, Bart Vinck, Siemens Atea, chapter 7, page 32-46	1,10
Y	SMG2 "Submission of Proposed Radio Transmission Technologies", page 1-15 Date of submission: 29.01.1998 www.itv.int/imt/2-radio-dev/proposals/etsi/utra.pdf	1-10



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

9 February 2000

Date of mailing of the international search report

11 -02- 2000

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Information on patent family members

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